Resolution of Safety Issues

Phase

Inspector

Start

Triggers
1. Certification Inspection
2. Surveillance(scheduled / adhoc)
3. Audit

Documentation of safety issue(s) and Collection of evidence

Evaluation of Safety Issue

Violation

Yes → Enforcement process

No → Finding

Finding

Yes → Risk analysis and Classification of safety issue(s)

No / Observations → 1. To inspection/ Audit Report as Recommendations 2. Update surveillance plan → Invoke Parent process

Risk analysis and Classification of safety issue(s) → Level 1

Level 1

Yes → Inform Operator and impose the operating restrictions to preserve safety

Path 1 → Notify HOD

No → Level 2 and 3

Level 2 and 3

Yes → Notify Operator and issue CAR forms

Notify operator and reclassify safety issue to level 2/3

Path 2

Yes → Acceptable?

No → Define Mitigation measures including suspension of operation if Appropriate

Notify Operator and issue CAR forms

No → Acceptable?

Yes → Implementation Follow up (Administrative/ physical inspection)

Acceptable?

Inspector review and Acceptance of CAR forms

Implementation Follow up (Administrative/ physical inspection)

Closed

No → Review Progress of CAP implementation

Yes → 1. Document evidence of implementation and closure 2. Update Surveillance plan → Parent Process

Review Progress of CAP implementation

Progress Made?

Yes → Document progress and Evaluate CAP status

No → CAP review Required?

Document progress and Evaluate CAP status

CAP review Required?

Yes → Inform Operator and impose the operating restrictions to preserve safety

No → Implementation Follow up (Administrative/ physical inspection)

Operator

Define Mitigation measures including suspension of operation if Appropriate

Develop CAR plan and submit for Evaluation and Acceptance

Level 2 CAP timeline 10 days
Level 3 CAP timeline 90 days

HOD

Review and make recommendations to DASSR

DASSR

Consider the Finding, operating restrictions imposed, mitigation measure by operator and HOD recommendations → Sustain finding and impose Restriction?

Sustain finding and impose Restriction?

No → Notify HOD and instruct Inspector to reclassify finding

Notify HOD and instruct Inspector to reclassify finding → Sustain the level of Finding and or/ impose additional restrictions

Sustain the level of Finding and or/ impose additional restrictions → Additional restrictions?

Additional restrictions?

Yes → Notify HOD and instruct Inspector to impose additional restrictions

Notify HOD and instruct Inspector to impose additional restrictions → Continue with Path 2

DG